

**SECURITIES SETTLEMENT INSTRUCTION 證券交收指示**

To 致: The Manager 經理

Settlement Department, Chong Hing Securities Limited 創興證券有限公司交收部 (Tel 電話: 3768 9888)

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Securities A/C No. 證券賬戶號碼		A/C Name 賬戶名稱	
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I/We hereby request Chong Hing Securities Limited (“CHSL”) to effect my/our instructions below and to take ancillary actions in relation thereto for my/our account at my/our own risk subject to (among others but not limited to) the client agreement. 本人/吾等謹此要求創興證券有限公司 (『創興證券』) 由本人/吾等自行承擔風險及責任下及依據 (包括但不限於) 客戶協議內所列條款及章程, 替本人/吾等執行以下指示及相關行動。

Please  where appropriate. 請於適當處加上號。

**DEPOSIT** the securities showed in **PART I** into my/our above CHSL account which RECEIVE from the counterparty showed in **PART II** and input the relevant Settlement Instruction(s) (“SI(s)”) into CCASS to effect settlement with details showed in **PART II**. 將由**第二部份**顯示之交收對手接收回來之**第一部份**所列之證券**存入**本人/吾等上述之創興證券賬戶內, 並將有關之「交收指示」根據**第二部份**之內容輸入中央結算交收系統, 以便進行交收。

**WITHDRAW** the securities showed in **PART I** from my/our above CHSL account and DELIVER to the counterparty showed in **PART II** and input the relevant Settlement Instruction(s) (“SI(s)”) into CCASS to effect settlement with details showed in **PART II**. 從本人/吾等上述之創興證券賬戶內**提取****第一部份**所列之證券交付至**第二部份**顯示之交收對手, 並將有關之「交收指示」根據**第二部份**之內容輸入中央結算交收系統, 以便進行交收。

**PART I 第一部份**

	Sec. Code 證券編號	Securities name 證券名稱	Quantity 數量	S.I. Number 交收指示編號	Remark 備註
1.					
2.					
3.					
4.					
5.					

**PART II 第二部份**

Counterparty ID No. 交收對手編號		Name of Counterparty 交收對手名稱	
Settlement Date 交收日期		Client A/C No. 客戶號碼	
Payment Instruction 付款指示	<input type="checkbox"/> Free of Payment 毋須付款	<input type="checkbox"/> DVP/RDVP-- HK\$ 貨銀對付-- 港幣\$	Purpose of S.I. 交收指示種類
			<input type="checkbox"/> C-Broker-Custodian Trade 經紀與託管商交易
			<input type="checkbox"/> M-Portfolio Movement 股份組合轉移

**PART III 第三部份**

Contact person of counterparty 交收對手聯絡人姓名		Contact Tel. no. 聯絡電話	
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**Important Notes 重要事項**

- SI(s) effective on the same day must reach the CHSL Settlement Department in Central by 1:00 p.m. Besides, the execution(s) of the above SI(s) is/are subject to confirmation by CHSL. 擬於當天完成之「交收指示」, 必須於下午一時前送抵創興證券中環交收部。另上述「交收指示」之完成仍需待創興證券確認。
- CHSL reserves the rights to modify the SI(s), if necessary. 創興證券保留在需要時修改上述「交收指示」之權利。
- Before issuing SI(s), customers are obliged to carefully read the prevailing “Service Charge Schedule” of CHSL and/or to make enquiries so as to fully understand the details of charges of issuing SI(s) and adopting other services (e.g. Custodian Services, Securities Registration, Handling Corporation Actions and Securities Trading, etc), including any fees incurred from modification/revocation of such instructions. 客戶發出「交收指示」前, 須先參閱當時適用之創興證券服務《收費表》及/或作出查詢, 以了解有關創興證券替客戶辦理「交收指示」及提供其他各項相關服務 (如: 證券託管、證券過戶、處理公司行動或證券交易等服務) 之收費詳情, 包括「更改」或「取消」任何該等服務指示所導致的費用。
- The customer(s) issuing the SI(s) is/are deemed as having known, understood and promised to pay for all fees from the SI(s) and other services (including custodian services) provided by CHSL. 客戶發出「交收指示」表示經已清楚、明白及承諾將會繳付由創興證券提供之辦理「交收指示」或其他各項服務 (包括證券託管服務) 所導致之任何相關費用。

The customer(s) sign(s) to confirm having read and accepted the “Important Notes” listed on the left and to confirm the SI(s) listed above. 客戶簽署確認已細閱及接受左列之「重要事項」, 並確認發出上述之各項「交收指示」。

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Signature(s) of Client(s) 客戶簽署

For Office Use Only					
CHB/CHS Branch Code		CHS			
Handled by	Signature Verified by	Checked By	Approved by	Input by	Authorized by
Remarks:					