

## Chong Hing Bank – Securities Custody Services Charges Schedule (Revised Version)

### Securities handling and settlement-related services

Category	Charge per item
Shares deposit charges	HK\$10 per board lot for the first 100 board lots, thereafter HK\$2 per board lot (minimum HK\$30)
Shares withdrawal charges	Waived
Physical share handling charges (per stock, per request)	HK\$50 for delivering / withdrawing physical shares to/from the CCASS Depository HK\$100 for transferring of ownership through Inland Revenue Dept and / or share registrar
Handling charges for CCASS Settlement instruction ("SI") (per stock, per instruction)	Waived for normal SI HK\$30 for SI involving payment instruction Remittance fee will be applied to SI involving payment on Realtime Delivery versus Payment ("RDP") basis

### Account maintenance

Category	Charge per item
Semi-annual safe custody charges (The charges will be calculated on customer's aggregate stock quantity in account as at 1 <sup>st</sup> June and 1 <sup>st</sup> December of each year)	HK\$0.15 per board lot for the first 17,000 board lots, thereafter HK\$0.10 per board lot (minimum HK\$100, applicable to nil stock balance account)
Dormant account service charges	Waived

### Nominee services and corporate actions

Category	Charge per item
Dividend collection charges <sup>2</sup>	0.50% on dividend amount. If the charge is over HK\$ / RMB2,500, 0.25% on remaining amount (minimum HK\$ / RMB30)
Bonus shares, rights issue, scrip dividend collection charges	HK\$10 per board lot for the first 100 board lots, thereafter HK\$2 per board lot (minimum HK\$30)
Handling charges for privatisation / stock takeover <sup>2</sup>	0.125% on the consideration (minimum HK\$ / RMB30)
Handling charges for shares splitting / consolidation	HK\$30 per transaction

### Other services

Category	Charge per item
Application fee for new issue	HK\$100 per application
Dividend claiming charges <sup>2</sup> (per claim, per distribution period)	HK\$150, plus 0.50% on dividend amount. If the charge is over HK\$ / RMB2,500, 0.25% on remaining amount (minimum HK\$ / RMB30)
Bonus shares claiming charges (per claim, per distribution period)	HK\$150, plus HK\$10 per board lot for the first 100 board lots, thereafter HK\$2 per board lot (minimum HK\$30)

#### Remarks :

1. Odd lot is charged as board lot.
2. For Renminbi-denominated securities, charges will be calculated in Renminbi as above mentioned schedule.
3. All out of pocket expenses incurred on behalf of the customer(s) in respect of Hong Kong Securities Clearing Company Limited, Inland Revenue Department and / or share registrar will be reimbursed at cost.
4. For other services and charges not listed in this schedule, please contact our staff of Securities Business Department for details.
5. The Bank reserves the right to change the above charges from time to time.