

## 《Notice for Clients of i-Web Stock Trading Service and Mobile Securities Service》

The i-Web Stock Trading Service (the “i-Web”) and the Mobile Securities Service (the “MSS”) are provided by Chong Hing Securities Limited (the “Company”) for the customers to perform securities trading and self-checking of their own accounts. Before adopting these services, customers should read carefully and agreed to the following terms and conditions :

### 1. Securities Transaction Charges

For all orders placed via the MSS, the brokerage is 0.15% (Min. HK\$60) only. On the other hand, for all orders placed via the i-Web, the brokerage is 0.18% (Min. HK\$80). These special offers of brokerage apply only if an order is placed and modified (if applicable) via the same channel (i.e. either the i-Web or the MSS respectively) solely. Buy orders / sell orders for one securities will be combined into contracts according to the channels through which they are placed. Buy orders / sell orders for one securities placed via different channels will be separated into different contracts with independent cost charging. For all orders placed by phone, the brokerage is calculated on 0.25% (Min. HK\$80) basis. For details of other charges, please refer to our updated 《Service Charge Schedule》.

If a customer calls the Company by phone to modify a buy order / sell order which is originally placed via the i-Web or the MSS, the modified portion of the original order will be deemed as a new order placed by phone and grouped to the contract with other buy orders / sell orders (if any) placed by phone on the same day and the brokerage thereon will be calculated on 0.25% (Min. HK\$80) basis.

On the other hand, if a customer modifies an order which is originally placed by phone via the i-Web or the MSS, the order will still be deemed as placed by phone and the brokerage will still be calculated on 0.25% (Min. HK\$80) basis. For enjoying the lower brokerage of the i-Web or the MSS, customers should cancel the order and place a new order by the i-Web or the MSS respectively.

### 2. Real Time Quote Services:

#### a. The Snapshot Real Time Quote Service

It costs HK\$0.15 for each Snapshot Real Time Quote (including both “Half Quote” and “Full Quote”), with no maximum charges. The usage of this service for a securities account is accumulated on calendar month basis and the charge is debited after deduction of the valid free Snapshot Real Time Quotes granted.

For every securities account adopting the i-Web and the MSS services in each calendar month, 80 free real time quotes will be first given so as to facilitate the customer to check the real time quotes of securities. Besides, an extra 100 FREE real time quotes will also be granted for every HK\$10,000 monthly turnover. But, all free Snapshot Real Time Quotes granted are valid only for the same calendar month in which the securities transactions are executed. All free real time quotes accumulated in a calendar month will expire after that calendar month.

The definition of “Snapshot Real Time Quote” is the same as defined by Hong Kong Exchange and Clearing Limited, it means every price quote shown or refreshed in the content of any web pages (e.g. the “Trading” page, “Watch” page) of this web-site during the periods from 9:00 to 12:15, and from 13:00 to 16:15 on every trading day with both morning & afternoon sessions or the period from 9:00 to 12:15 on the trading day with morning session only. For example, if 9 stocks are set in the “Watch” page, 9 real time quotes will be updated and counted at the same time for every enquiry. The same principle applies for the cases with different number of stocks in the “Watch” page.

#### b. The HSI Real Time Quote Service

The basic monthly fee of this service is HK\$20 per calendar month. During the promotion period, for a securities account subscribing this service, if the total commission charged for transactions executed via the i-Web or the MSS in a calendar month is equal to or more than HK\$200, the monthly fee of this services for the same calendar month will be fully rebated. This additional service requires separate application.

#### c. HSI & HSIF Real-time Quote Service

The basic monthly fee of this service is HK\$50 per calendar month. During the promotion period, for a securities account subscribing this service, if the total commission charged for transactions executed via the i-Web or the MSS in a calendar month is equal to or more than HK\$500, the monthly fee of this services for the same calendar month will be fully rebated. This additional service requires separate application.

#### d. The “Automatic Refreshing i-Web Streaming Quotation Service”

During promotion period, the fee for this streaming quotation service is HK\$338 (originally HK\$398) on for each calendar month. Also, the Company offers an attractive monthly fee rebate scheme as follows. In each calendar month, if customers execute securities transactions via the i-Web or the MSS with total commission fees in one single securities account accumulated up to:

- i) HK\$500, then that account will receive a rebate of HK\$100 for that calendar month;
- ii) HK\$1,000, then that account will receive a rebate of HK\$200 for that calendar month;
- iii) HK\$1,500 or above, then that account will receive a rebate of HK\$338 for that calendar month.

This streaming quotation service is for the i-Web only. For subscribing this service, customers are required to submit the “i-investor Browser” application form.

### **3. Orders Placed during Pre-opening Session**

During the period from 9:00.a.m. to 9:15a.m of Pre-opening Session, if a customer wants to place an At-auction Limit Order (ALO) for participating the opening price auction, the customer should select "ALO" as the "Order Type" for his/her order, or he/she may place an At-auction Order (AO) by phone (3768-9111). All At-auction Limit Orders placed after 9:15a.m will be rejected. Orders of other types (e.g. "ELO") placed during Pre-opening Session will be sent to the market only after the securities market opens.

### **4. Housekeeping Period:**

Due to the housekeeping of the trading system during 4:30pm - 6:00pm on each trading day, orders placed during the day shall be cleared from the "Order Status" page while the filled amount and quantity will not be included by the account position and stock holding until the process completed.

### **5. Customers' Obligations:**

After inputting their orders, customers are obliged to check the actual statuses of their orders on the Order Status Page. The word "pending" displayed in the status column of an order means that the order is still waiting for the feedback signal from the AMS trading system of the Exchange. The "rejected" status means the order has already been rejected by the AMS trading system and the customer is required to input the order again.

All customers are fully obliged to settle all filled transactions once they place the orders. Any unsettled transactions by the designated settlement days will result in interest and penalties according to the prevailing Service Charge Schedule of the Company and the Company has the absolute right to dispose of the securities and sales proceeds of those unsettled transactions. Generally, the Company will not accept partial settlement for any trades. For an account with buy order(s) and sell order(s) on the same day, only the net amount will be settled on the T+2 settlement day. The Company reserves the absolute right to reject any buy orders or sell orders no matter the customer's securities account has or has not sufficient funds or quantities of stocks.

### **6. Fund Holding Arrangement**

At any time after receiving a customer's purchase orders, the Company has the absolute right, but not an obligation, to hold the full or partial or none of transaction amount with the related transaction fees ("total transaction amount") in the customer's designated settlement account maintained with Chong Hing Bank Limited ("settlement bank account"). Please note that customers are still solely responsible to perform their own personal financial management with their settlement bank accounts and none of any party should depend on or take reference to the fund holding action of the Company while performing his/her own financial management. Under all circumstances, the Company has the absolute right to or not to hold fund for any one of customers' securities purchases.

### **7. Disclaimer:**

Chong Hing Securities Limited (the "Company") is the wholly-owned subsidiary of Chong Hing Bank Limited and an exchange participant of The Stock Exchange of Hong Kong Limited, the SFC Central Entity Number of the Company is AAA806.

The Company endeavour to keep the information accurate and reliable, but the nature of the i-Web and the MSS ("both Services") involves lots of portions or parts which are actually beyond control of the Company. Therefore, the Company does not guarantee the completeness, accuracy and/or reliability of any kind of information and the performance of both Services and accept no liability, whether in tort or contract or otherwise, for any loss or damage arising from any inaccuracies or omissions and/or related with any securities transactions or instructions. Transactions or communications via both Services may be subject to the risks including but without limitation to interruption, transmission blackout, delayed transmission and/or incorrect data transmission due to various reasons, losses or damages due to any system errors or malfunctions in communication facilities as well as the risks that your login password and/or information transmission may be read, lost or stolen and used by third parties without valid authorization (the "Network Risk"). The Company shall not be liable for any loss and/or damage arising out of the Network Risk whether in tort or contract or otherwise.

Customers should fully understand and accept all risks involved before logging in or using both Services. For their own benefits, customers are suggested to change their passwords via the Company's web-site: [www.chsec.com.hk](http://www.chsec.com.hk) on a regular basis, for example, at every 20 or 30 days.

The Company has the absolute explanation right of this 《Notice for Clients of i-Web Stock Trading Service and Mobile Securities Service》. Please contact us via 3768-9888 to clarify any doubt before adopting both Services. The action of his/her adopting the i-Web or the MSS means that the customer has acknowledged and accepted all the practices and arrangements mentioned above.