Chong Hing Securities Limited (A Wholly - Owned Subsidiary of Chong Hing Bank)

Chong Hing Securities Limited e-Statement Service Application Form / Service Change Form

Please fill the Schedule 1 below and tick (\checkmark) the appropriate box.	\Box New Apply	□ Change in e-mail address
Schedule 1: Applicant Information		
Name of Applicant:	□ Personal	A/C or \Box Joint A/C or \Box Corporate A/C
Identity Card No.:	Contact No.:	
Account No.:		
e-mail address*:		
*Attention: This designated email address will be used for all alert and notification	services (including notificat	ion of e-statement ready etc.) of our company in future

Terms and Conditions:

- 1. This "e-Statement Service" is exclusively for the customers who have adopted the i-Web Stock Trading Services ("i-Web") of Chong Hing Securities Limited ("Company").
- 2. This "e-Statement" consists of only the "Combined Statement of Account & Contract Notes" and the "Monthly Statement" ("Statements") .
- 3. For those securities accounts having selected to receive e-Statements, applicable paper Statements will no longer be provided. All requests for such paper Statements will be charged in accordance with the prevailing Service Charge Schedule of the Company.
- 4. Each customer adopting this "e-Statement Service" is obliged to provide a correct and updated e-mail address to the Company for receiving electronic messages.
- 5. Please note that the download period for "Combined Statement of Account & Contract Notes" and "Monthly Statement" are 3 months and 6 calendar months respectively. For examples, the "Combined Statement of Account & Contract Notes" of 1 December, 2013 should be downloaded on or before 28 February, 2014 and the "Monthly Statement" of May 2013 should be downloaded on or before 30 November, 2013. All but no previous statements are ready for download only after the "e-Statement Service" is effective.
- 6. Customers agree that all Statements shall be deemed to be delivered to the customers at the time they are placed in Chong Hing Securities Limited e-Statement Service and available for the customers to download, no matter whether the customers will have read or downloaded their Statements or not.
- All e-mails and other electronic messages shall be deemed to be delivered to the customers at the time when they are, according to our record, successfully sent or re-sent to the customers' designated e-mail addresses.
- 8. Customers confirm that they have assessed and analysed and so understand, acknowledge and accept all possible risks involved in having electronic messages sent to their email addresses including, without limitation, the electronic messages being intercepted, monitored, amended, tempered with or being sent or disclosed to other parties without the customers' prior authorisation.
- 9. Customers agree to open, read or access and carefully review and examine all e-Statements in a timely manner and advise the Company as soon as possible, of any errors, discrepancies, unauthorised transactions or other irregularities arising from whatever cause, including, without limitation, forgery, fraud, lack of authority or your negligence or negligence of any other person(s) ("Errors"). Besides, customers also agree that they are obliged to inform any discrepancies about the transaction details listed on the Statements to the Company within 3 calendar days after the first day that those Statements are ready for the customers to read and/or download via this "e-Statement Service".

Important Notes:

- 1. For successful application, the Company will send an "e-mail of acknowledgement" to the customer's designated e-mail address. If a customer has not received such "e-mail of acknowledgement" 5 days after the submission of his/her application, please inform the Company at 3768 9888.
- 2. Customers are obliged to provide their correct and updated e-mail addresses to the Company. If there is any change, please inform the Company to update the record immediately.
- 3. For information security, the Company will not deliver Statements to the customers by e-mails directly, but "reminders" will be sent to the customers' designated e-mail addresses so as to remind them to read and download the Statements.
- 4. For the customers adopting this "e-Statement Service", no matter they have received corresponding e-mail reminders or not, "Combined Statement of Account & Contract Notes" will be available for their download not later than the end of the 2nd business day after the day of that statement and "Monthly Statement" will be available for their download not later than the end of the 7th business day after the end of the month of that Monthly Statement.
- All e-mails sent by the Company to the customers' email addresses are uni-directional. Customers should not reply or send back any e-mail to that sender's e-mail address.
- 6. All holder(s) of a securities account applying this "e-Statement Service" should sign to confirm the application.
- 7. The computer is required to have installed Acrobat Reader 7.0 or above versions to view the "e-Statement" files. Besides, it is also recommended to update the version of Acrobat Reader from time to time for viewing those e-Statements.

Declaration of Applicant and all other Account Holder (s)

I/We confirm that I/we have fully understood and accepted the terms & conditions and the fees of the services mentioned above. I/We hereby apply for the e-Statement Service ("Service") provided by Chong Hing Securities Limited ("Company"). I/We represent that the information in the above Schedule 1 is true, complete and correct. The Company is entitled to rely fully on such information and representations for all purposes until the Company receives notice in writing from me/us of any changes. I/We also understand that I/we have to submit the relevant forms to terminate any kind of the services to the Company.

Signature(s) of applicant and all other account holder (s) (Company Chop is required for Corporate Account)							Date			
公司專用 FOR OFFICE USE ONLY										
CHB/CHS	Branch Code	Handled By	S.V. by	CHS	Checked by	Input by	Approved by	Remarks		
Branch				Head Office						